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5. Have you ever been directly or indirectly connected with any organization or business in Colorado or elsewhere, which had an application for license or registration for any business activity denied by any federal, state, or local governmental entity, or which withdrew such application to avoid a denial, or by request, or which had its license or registration suspended, canceled, revoked or subject to any other disciplinary action, whether or not a final order or judgment was entered? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, furnish details.

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6. In the last 10 years, have you ever been, or has any organization or business with which you were associated as an officer, director, partner, owner, or otherwise, involved in any voluntary or involuntary bankruptcy, receivership, or insolvency proceedings? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, furnish details.

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7. Have you ever been convicted of or entered a plea of guilty to theft, concealing stolen goods, forgery, fraud, offenses related to the Uniform Commercial Code or financial transaction devices, or any similar crime in any federal, state, or local jurisdiction? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, describe details below.

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8. In the last ten years, have you entered a plea of guilty or nolo contendere to, or been convicted of, a felony or a misdemeanor other than a traffic violation and other than information listed in Question 7? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, furnish details.
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
9. Have you ever been held liable in any civil fraud action in any judicial or administrative proceeding by a federal, state, or local governmental entity? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, furnish details.
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
10. Is there now pending any criminal action by any federal, state, or local governmental entity charging you with having committed a felony or misdemeanor other than a traffic violation? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, furnish details.
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
11. Is there now pending before any judicial or administrative agency of a federal, state, or local governmental entity any proceeding charging you with violation of credit, broker, or lending laws; fraud; misrepresentation; or other deceptive practices? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, furnish details.
- \_\_\_\_\_
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- \_\_\_\_\_
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**Statements made herein are made under oath. False statements may be punishable as second degree perjury.**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date